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PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE e Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRADE **Application Number** 10/792,256 TRANSMITTAL Filing Date Mar 2, 2004 **FORM** First Named Inventor Schroeppel, Edward A. (to be used for all correspondence after initial filing) Art Unit 3969 **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 3102.022 **ENCLOSURES** (check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Fee Attached Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Amendment / Reply Appeal Communication to Group Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information **Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request Terminal Disclaimer identify below): Express Abandonment Request Request for Refund Return Postcard to applicant's attorney Information Disclosure Statement CD, Number of CD(s) Remarks Certified Copy of Priority \*Exclusive of patent applications. Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm SINSHEIMER, SCHIEBELHUT & BAGGETT Individual name Julie A. Hopper (Reg. No. 50,869) Signature 23,2004 Date June CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.P. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Type or printed name Julie A. Hopper Signature June 23, 2004 Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 



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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Schroeppel et al.

Appl. No.: 10/792,256

Filed: 3/2/2004

Title: IMPLANTABLE DEVICE AND

METHOD FOR THE ELECTRICAL

TREATMENT OF CANCER

Attorney Docket No.: 3102.022

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Group Art Unit: 3969

Examiner:

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

<u>X_</u>	under 37 CFR 1.97(b), or
	(Within three months of filing national application; or date of entry of international
	application; or before mailing date of first office action on the merits; whichever occurs last)
	under 37 CFR 1.97(c) together with either a:
	Statement under 37 CFR 1.97(e), or
	a \$180.00 fee under 37 CFR 1.17(p), or
	(After the CFR 1.97(b) time period, but before final action or notice of
	allowance, whichever occurs first)
	under 37 CFR 1.97(d) together with a:
	Statement under 37 CFR 1.97(e), and
	a \$180.00 fee set forth in 37 CFR 1.17(p).
	(Filed after final action or notice of allowance, whichever occurs first, but
	before payment of the issue fee)

X Applicant(s) submit herewith Form PTO/SB/08A Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

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The perms listed on PTO/SB/08A are either in the English language or an English translation is **DEPH** ovided, therefore, no further explanation is required.

Applicant submits that the above references taken alone or in combination neither anticipates nor renders obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

SINSHEIMER, SCHIEBELHUT & BAGGETT

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: June 23, 2004

Typed Name: Julie A. Hopper

Date: June **23** , 2004

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Attorney/Agent for Applicant(s)

Sheet

PTO/SB/08a (08-03)

3102.022

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Underthe Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known Substitute for form 1449A/PTO 10/792,256 Application Number INFORMATION DISCLOSURE 3/2/2004 Filing Date STATEMENT BY APPLICANT First Named Inventor Schroeppel et al. Art Unit 3969 (Use as many sheets as necessary) **Examiner Name** 

Attorney Docket Number

U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		US- 10/819,641	4/6/2004	Schroeppel et al.				
		US- 10/841,205 :	5/7/2004	Schroeppel et al.				

Examiner	0	Date	
Signature		Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

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